

|   |   |   |
|---|---|---|
| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |   | ATTORNEY DOCKET NUMBER<br>47236-0006-00-US  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br>WASHINGTON, DC 20514-0001 |
|   |   | 10/581941   |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP2004/017915  | INTERNATIONAL FILING DATE<br>December 2, 2004 | PRIORITY CLAIMED<br>JP 2003-403630 filed December 2, 2003                               |
| TITLE OF INVENTION<br>OIL OR FAT COMPOSITIONS CONTAINING PHOSPHOLIPIDS AND A LONG-CHAIN POLYUNSATURATED FATTY ACID SUPPLY COMPOUND, AND FOOD USING SAME   |   |   |
| APPLICANT(S) FOR DO/EO/US<br>Hiroshi KAWASHIMA, Yoshiko ONO and Koichi NAKAHARA   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has not expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input type="checkbox"/> An oath or a declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |   |   |
| <b>Items 11 to 20 below concern document(s) or information included</b> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> <li>(a) PCT/IB/304</li> <li>(b) PCT/IB/308 (First Notice and Second &amp; Supplementary Notice)</li> <li>(c) PCT/ISA/237</li> <li>(d) PCT/ISA/210 dated March 1, 2005</li> <li>(e) Front page of International Publication No. WO 2005/054415</li> <li>(f) PCT Request</li> </ol> </li> </ol>  |   |   |

|  |              |   |              |                                      |              |
|--|--------------|---|--------------|--------------------------------------|--------------|
| U.S. <b>10/581941</b>  |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP2004/017915                            |              | ATTORNEY DOCKET NUMBER<br>47236-0006 |              |
|  |              |   |              | Calculations                         | PTO use only |
| The following fees are submitted:  |              |   |              |                                      |              |
| 21. <input checked="" type="checkbox"/> Basic national Fee .....\$300.00   |              |   |              | \$300.00                             |              |
| 22. <input checked="" type="checkbox"/> Examination Fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....\$100.00<br>All other situations .....\$200.00  |              |   |              | \$200.00                             |              |
| 23. <input checked="" type="checkbox"/> Search Fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100.00<br>International Search Report prepared and provided to the Office .....\$400.00<br>All other situations .....\$500.00   |              |   |              | \$400.00                             |              |
| TOTAL OF 21, 22 and 23 =   |              |   |              | \$900.00                             |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |   |              |                                      |              |
| Total Sheets   | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE         |                                      |              |
| 62 - 100 =   | 0/50 =       | 0   | × \$250.00   | \$0.00                               |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).   |              |   |              | \$0.00                               |              |
| CLAIMS   |              | NUMBER FILED  | NUMBER EXTRA | RATE                                 |              |
| Total claims   |              | 25 - 20 =   | 5            | X \$50.00                            | \$250.00     |
| Independent claims   |              | 1 - 3 =   | 0            | X \$200.00                           | \$0.00       |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   |              | + \$360.00                           | \$0.00       |
| TOTAL OF ABOVE CALCULATIONS =  |              |   |              | \$1150.00                            |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |   |              |                                      |              |
| SUBTOTAL =   |              |   |              | \$1150.00                            |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |              |   |              | +                                    | \$0.00       |
| TOTAL NATIONAL FEE =   |              |   |              | \$1150.00                            |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |              | +                                    | \$0.00       |
| TOTAL FEES ENCLOSED =  |              |   |              | \$1150.00                            |              |
|  |              |   |              | Amount to be refunded:               | \$           |
|  |              |   |              | Amount to be charged:                | \$           |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of \$1150.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>50-0573</u> in the amount of \$ _____ to cover the above fees.<br/>A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0573</u>.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:<br/>CUSTOMER NO.: 055694</p> <p>DRINKER BIDDLE &amp; REATH LLP<br/>1500 K Street, N.W., Suite 1100<br/>Washington, D.C. 20005-1209<br/>Tel: (202) 842-8800<br/>Fax: (202)-204-0289</p> <p><i>Suzanne E. Ziska</i><br/>SIGNATURE<br/>NAME: Suzanne E. Ziska<br/>REGISTRATION NUMBER: <u>43,371</u><br/>DATE: <u>June 2, 2006</u></p> |              |   |              |                                      |              |